



# Rashtriya Ucchatar Shiksha Abhiyan

22-23<sup>rd</sup> July, 2014

# Need for RUSA

- Centrally Funded Institutions receive generous funding from the center but have a limited coverage in terms of enrollment.
- 94% of the students enrolled in government funded or government controlled private institutions come under the state higher education system, but their funding is only a fraction of that provided to central institutions.
- Over the years most states have not been able to allocate enough funds to higher education; these meager funds are thinly spread as a result of being shared amongst many institutions.
- As a result, the quality of infrastructure and teaching in state universities is far below the acceptable levels. Shortage of funds and procedural bottlenecks cause vacancies in faculty positions.
- Given the pitiable resource condition, there is a strong need for a strategic intervention for the improvement of access, equity and quality in Indian higher education, that focuses on state universities and state institutions though a special centrally sponsored scheme in a mission mode.
- Need for a new centrally sponsored scheme (RUSA) which will be spread over two plan periods (XII and XIII) to focus on state higher educational institutions.
- There are 3064 state universities and about 8500 colleges that can be covered under RUSA.
- RUSA is based on key principles of performance-based funding, incentivizing well performing institutions and decision-making through clearly defined norms.

# Objectives

- Improve the overall quality of the existing state institutions - conformity to prescribed norms and standards and accreditation as a mandatory quality assurance framework.
- Usher transformative reforms - facilitating institutional structure for planning and monitoring at the state level, promoting autonomy and improving governance in institutions
- Ensure academic and examination and affiliation reforms in the higher education institutions.
- Ensure adequate availability of quality faculty
- Expand the institutional base by creating additional capacity in existing institutions and establish new institutions
- Correct regional imbalances in access to higher education - facilitating access to high quality institutions in urban and rural areas by setting up institutions in un- served and underserved areas.
- Improve equity in Higher education in providing adequate opportunities of higher education to socially and educationally backward classes; women and differently abled persons.

# Guiding Principles

- Incentivizing and dis-incentivizing
- Apolitical decision-making
- Norm based and outcome dependent funding
- Disclosure based governance
- Autonomy and accountability
- Equitable and inclusive development
- Quality and research focus
- Independent third party quality assurance mechanisms

# Prerequisites

## States

- State Higher Education Council
- State Perspective Plan
- State funding commitment
  - share and timeliness
- Filling faculty positions
- Affiliation and Exam reforms
- Governance and administrative reforms
- Academic reforms

## Institutions

- Institutional governance reforms
- Academic reforms
- Examination reforms
- Project Management Teams
- Equity Commitments
- Commitments on research and innovation efforts
- Faculty recruitment & improvement
- Regulatory compliance

# State Higher Education Council

- Main agency through which RUSA will work in the States; an autonomous body that will function at an arm's length from the state governments.
- May be immediately created through an executive order to be issued by the States, but must be accorded statutory status within 5 years.
- The Council will be expected to perform planning, monitoring XVIII RUSA & evaluation, quality assurance and academic functions, as well as advisory and funding functions.
- It will plan for the development of higher education at the state level and the State Higher Education Plan prepared by it would constitute the main instrument to guide the entire transformative process in the state higher education sector.
- SHEC will be assisted by the State Project Directorate and the State Technical Support Group

# Composition of SHEC

1. Chairman, preferably an eminent Academic/Public intellectual with proven leadership qualities
2. Vice Chairman must be an eminent academic administrator with proven record (rank of a Professor). In other cases it could be a professional from industry etc., with sufficient experience in the sector.
3. Member Secretary, an eminent academic of the rank of Professor Chief Executive.
4. State Project Director
5. 10-15 individuals representing fields of arts, science and technology, culture, civil society and industry and vocational education and skill development
6. Three Vice Chancellors of State Universities and two Principals of autonomous/ affiliated colleges
7. One nominee of the Government of India
8. The Council must meet at least once every quarter.

# State Project Directorate

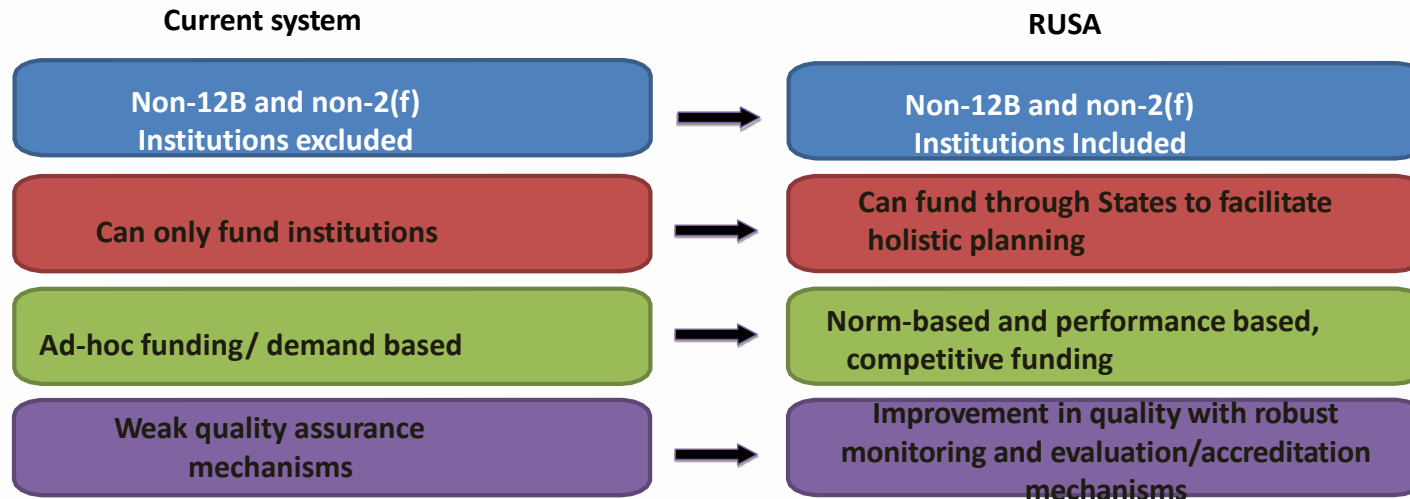
- The SPD will consist of State Project Director and such adequate support staff as may be required for the effective functioning of the State Project Directorate.
- The State Project Director must be senior officer of the rank of Commissioner/ Secretary to State Government.

## **Functions:**

1. Overseeing project implementation at the state level
2. Maintain statistical data and MIS reports
3. Engage project auditors as required



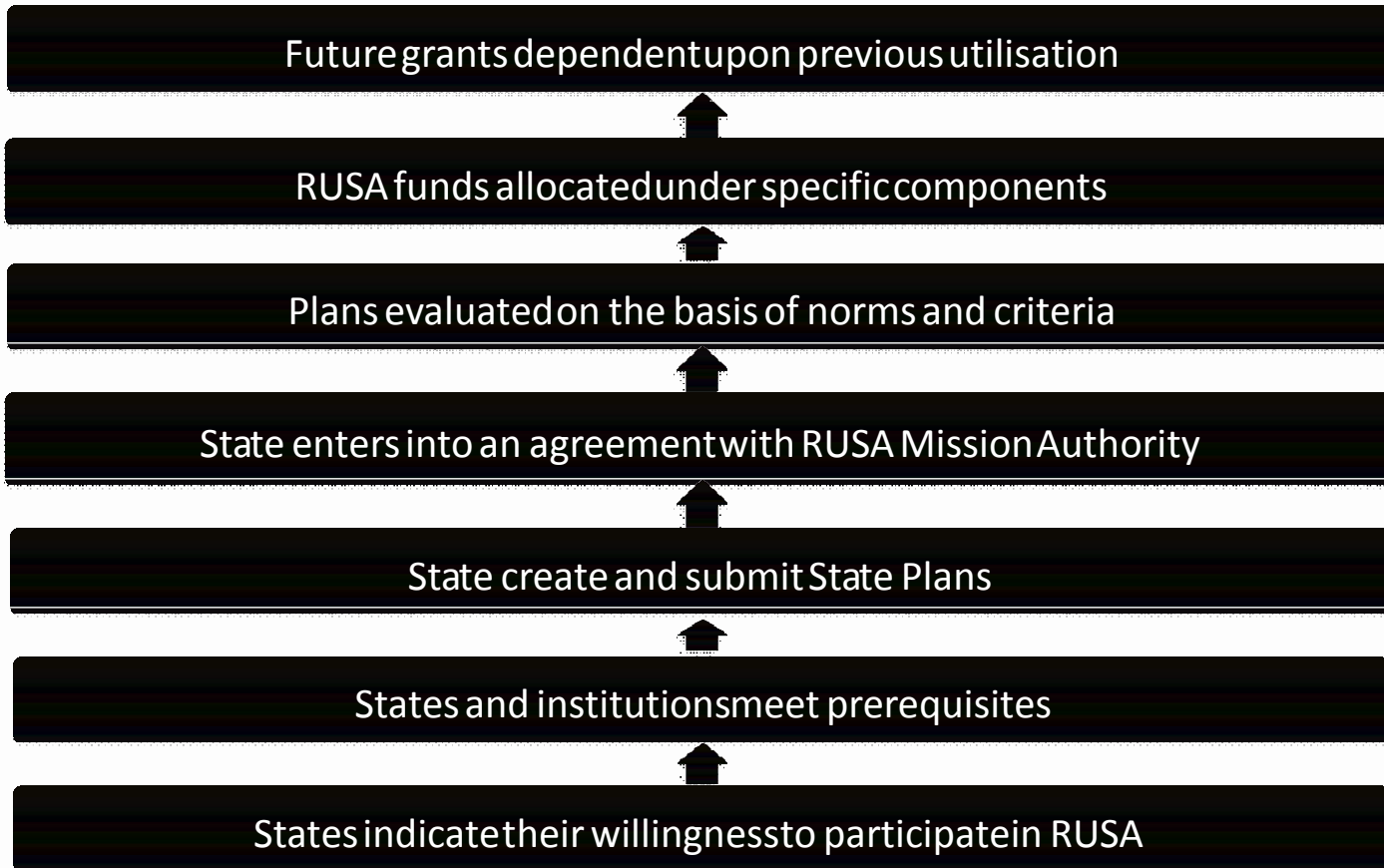
# Paradigm Shift



# Institutional Structure



# Approach to RUSA



# Twelfth plan outlay

**Centre-State funding will be in the ratio of :**

- 90:10 for special category States (NE states, Sikkim, J&K, Himachal Pradesh and Uttarakhand)
- 65:35 for other States and UTs
- 50% of state share can be mobilized through private participation/PPP

Plan Period	Central Share	State Share	Total
<b>12<sup>th</sup> Plan</b>	16,227Crores	6,628 Crores	22,855 Crores

## Components & Outlay (12th Plan)

Sl. No.	Component	Unit cost (Rs Crores)	No of Universities/ Colleges/ States/Units	Outlay (Rs. Crores)
1	Creation of Universities by way of upgradation of existing autonomous colleges	55	45 universities	2475
2	Creation of Universities by conversion of colleges in a cluster	55	35 universities	1925
3	Infrastructure grants to Universities	20	150 universities	3000
4	New Model Colleges (General)	12	60 colleges	720
5	Upgradation of existing degree colleges to model colleges	4	54 colleges	216
6	New Colleges (Professional & Technical)	26	40 colleges	1040
7	Infrastructure grants to colleges	2	3500 colleges	7000
8	Research, innovation and quality improvement	60	20 States	1200
9	Equity initiatives	5	20 States/UTs	100
10	Faculty Recruitment Support	0.58	5000 positions	2900

## Components & Outlay (12th Plan)

Sl. No.	Component	Unit cost (Rs Crores)	No of Universities/ Colleges/ States/Units	Outlay (Rs. Crores)
11	Faculty improvements	10	20 States/UTs	200
12	Vocationalisation of Higher Education	15	20 States/UTs	300
13	Leadership Development of Educational Administrators	5	20 States/UTs	100
14	Institutional restructuring & reforms	20	20 States/UTs	400
15	Capacity building & preparation, Data collection & planning	10	20 States/UTs	200
16	Management Information System	10	20 States/UTs	200
17	<b>Sub Total</b>			<b>21976</b>
18	4% Management, Monitoring, Evaluation & Research			879
19	<b>Total</b>			<b>22855</b>
20	<b>Central Share</b>			<b>16227</b>

## Fund Equalisation Formula

Sl. No.	Criteria	Marks
1.	Base Funding	20
2.	Population (18-23)	40
3.	Institutional Density	10
4.	Spend on Higher Education (as a % of GSDP)	10
5.	Need (Gross Enrolment Ratio)	10
6.	Special problems	10
<b>Total</b>		<b>100</b>

# Fund Equalisation explained

- With marks being assigned across the 6 criteria, **Incentive -Disincentive Compatibility Framework** is applied to determine allocation to states based on **(1) willingness, (2) adherence to timelines and (3) submission of SHEPs**
- Entitlement of funds would be determined as follows

Willingness	On time	SHEP Submission	Incentive/ Disincentive	Entitlement
Yes/No	Yes/No	Yes/No	3 Yes	100%
Yes/No	Yes/No	Yes/No	2 Yes	66.7%
Yes/No	Yes/No	Yes/No	1 Yes	33.3%
Yes/No	Yes/No	Yes/No	0 Yes	0%



# Funds Released

- **Total funds released under RUSA Rs. 279.05 crores**
- Total amount released as preparatory grants – Rs. 74.09 crores
- Total amount released for MDC
- ✓ First installment – Rs. 177.08 crores for 45 proposals (out of a target of 60 in 12th Plan)
- ✓ Second installment - Rs. 20.68 crores for 23 proposals (under the norms of the erstwhile MDC scheme norms)
- **Total amount released as MMER – Rs. 2.24 crores**
- Rs. 4.00 crores for National Quality Renaissance Initiative to strengthen the accreditation system in the States to NAAC
- Rs 1.00 crores for Leadership Development and Capacity Building to TISS

## Funds Released - States

Sl. No	State	Component			Total Amount
		Prep	MDC	MMER	
1	Andhra Pradesh	3.25	25.55	0.28	29.09
2	Bihar	2.60	-	0.02	2.62
3	Chattisgarh	2.60	-	0.02	2.62
4	Goa	1.95	-	0.01	1.96
5	Gujarat	3.25	-	0.32	3.28
6	Haryana	2.60	-	0.02	2.62
7	Himachal Pradesh	3.60	-	0.03	3.63
8	J&K	3.60	-	0.03	3.63
9	Jharkhand	1.95	-	0.01	1.96
10	Karnataka	3.25	-	0.32	3.28

Amount in crores of Rupees

## Funds Released - States

Sl. No	State	Components			Total Amount
		Prep	MDCs	MMER	
11	Kerala	2.60	-	0.02	2.62
12	Maharashtra	3.25	-	0.03	3.28
13	Odisha	2.60	31.20	0.33	34.13
14	Punjab	2.60	14.68	0.02	17.31
15	Uttar Pradesh	3.25	101.40	1.04	105.69
16	Uttarakhand	3.60	-	0.03	3.63
17	West Bengal	2.60	-	0.02	2.62
Amount in crores of Rupees					

## Funds Released - States

Sl No	State	Components			Total Amount
		Prep	MDCs	MMER	
18	Arunachal Pradesh	2.70	-	0.02	2.72
19	Assam	3.60	6.00	0.06	9.63
20	Manipur	2.70	-	0.02	2.72
21	Mizoram	2.70	-	0.02	2.72
22	Nagaland	2.70	-	0.02	2.72
23	Tripura	2.70	15.02	0.02	17.74

Amount in crores of Rupees

## Funds Released - UTs

Sl. No	U.T	Component			Total Amount
		Prep	MDC	MMER	
1	A&N Islands	1.95	3.90	#	5.85
2	Chandigarh	1.95	-	#	1.95
3	Dadra & Nagar Haveli	1.95	-	#	1.95
4	Daman & Diu	1.95	-	#	1.95
<b># Under process – to be released shortly</b>					

## Expectation from States

- Formation of SHECs – as per RUSA norms
- Transfer of central share and state share to SHECs
- Formation of State Project Directorates
- Submission of SHEPs
- Adherence to timelines for fulfilling RUSA prerequisites
- Timely utilisation of funds and submission of Ucs
- Monitoring of projects for which funds have been released

Thank you